

PATENT



2621
IBM/180
Confirmation No. 6868

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Timothy Roy Block et al.
Serial No.: 09/827,804
Filed: April 6, 2001
For: NODE SHUTDOWN IN CLUSTERED COMPUTER SYSTEM

Art Unit: 2621
Examiner: Unknown
Atty. Docket No.: IBM/180

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TRANSMITTAL

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

We are transmitting herewith the attached:

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Transmittal (in duplicate) containing Certificate of Mailing Under 37 CFR 1.8
Information Disclosure Statement
Substitute Form PTO-1449 (2 pages)
6 Other Art References
Reply Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 23-3000.
A duplicate of this sheet is enclosed.

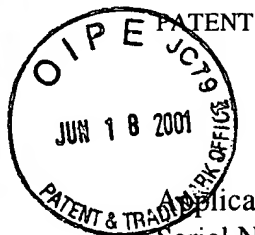
WOOD, HERRON & EVANS, L.L.P.
2700 Carew Tower
441 Vine Street
Cincinnati, Ohio 45202
(513) 241-2324

By: 
Scott A. Stinebruner Reg. No. 38,323

CERTIFICATE OF MAILING 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on June 12, 2001.


Scott A. Stinebruner Reg. No. 38,323



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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

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Technology Center 2600

Sir:

In accordance with Applicants' duty of disclosure under the provisions of 37 C.F.R. § 1.56, the references listed on the enclosed Form PTO-1449 are brought to the attention of the Examiner. Copies of the references are also enclosed.

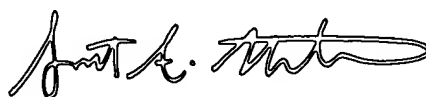
It is also brought to the attention of the Examiner the co-pending U.S. Serial Nos. 09/281,026, filed on March 30, 1999 by Block et al.; 09/481,177, filed on January 12, 2000 by Funk et al.; 09/638,328, filed on August 14, 2000 by Miller et al.; 09/697,398, filed on October 27, 2000 by Miller et al.; 09/718,924, filed on November 22, 2000 by Block; and 09/809,408, filed on March 15, 2001 by Dervin et al.

No representation is made that any of the references are "prior art" within the meaning of 35 U.S.C. § 102 or § 103. Moreover, any explanations herein are not to be taken as a representation that the references have been thoroughly reviewed. In particular, no representation as to the relative relevance of any portion of a reference is intended.

Consideration of the references and the remarks presented herein in the above-identified application is respectfully requested.

If there are any questions regarding this paper, or which might otherwise further this case onto allowance, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,



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12 JUNE 2001

Date